### UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**WASHINGTON, D.C. 20549** 

### FORM 8-K

#### **CURRENT REPORT**

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): October 24, 2022

## CODEX DNA, INC.

(Exact name of Registrant as Specified in Its Charter)

Delaware (State or Other Jurisdiction of Incorporation) 001-40497 (Commission File Number) 45-1216839 (IRS Employer Identification No.)

9535 Waples Street Suite 100 San Diego, California (Address of Principal Executive Offices)

92121 (Zip Code)

Registrant's Telephone Number, Including Area Code: (858) 228-4115

(Former Name or Former Address, if Changed Since Last Report) Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions: Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425) Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12) Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b)) Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c)) Securities registered pursuant to Section 12(b) of the Act: **Trading** Title of each class Symbol(s) Name of each exchange on which registered Common Stock, \$0.0001 par value per share DNAY NASDAQ Global Select Market Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§ 230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§ 240.12b-2 of this chapter). Emerging growth company ⊠ If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.  $\Box$ 

# Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

On October 24, 2022, Brent Hunter provided the Company with notice of his intent to resign from his position as Vice President, Finance of the Company. Mr. Hunter will continue to serve as the Company's Principal Financial Officer and Principal Accounting Officer until November 11, 2022. It is expected that Mr. Hunter will enter into a consulting agreement pursuant to which Mr. Hunter will assist with the transition of his role and consult for the Company until March 31, 2023. Mr. Hunter's resignation is not a result of any disagreement with the Company or its board of directors, or any matter relating to the Company's operations, policies or practices.

### Item 9.01 Financial Statements and Exhibits.

(d) Exhibits.

Exhibit No. Description

104 Cover Page Interactive Data File (formatted as Inline XBRL)

#### SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

CODEX DNA, INC.

Date: October 28, 2022 By: /s/ Todd R. Nelson

Todd R. Nelson Chief Executive Officer